

Fee Agreement - Ongoing Consultancy

The entry level is our "customer relationship" service. However, the majority of our clients require a higher level service for whom we have designed the annual & twice yearly bespoke retainer services. All three are detailed below.

Customer Relationship

- File maintenance
- Reactive response to paperwork/enquiries where necessary
- Service charge: 0.5% pa of the portfolio value*

As a customer you will receive no ongoing advice or service(s) from Appleton Fox Wealth Management Ltd. Any further service will be charged at the fee level in place at that time. As clients receive priority we are unable to guarantee further service levels or advisor availability.

OR

Client Relationship: Annual Retainer Service. As an annual retainer client you will receive:

- An "Annual Review" and meeting
- Twice yearly newsletter via our website
- All correspondence replied to or when more involved acknowledged within 48 hours (working week)
- All e mails replied to or when more involved acknowledged within 48 hours (working week)
- Priority over "customers"
- All telephone calls will be returned within four hours during our working week (9am-5pm, Mon to Fri)
- Service charge: £33.70 + vat per month plus 1.0% pa** of the portfolio value

OR

Client Relationship: Twice Yearly Retainer Service. As a twice yearly annual retainer client you will receive:

- An "Annual Review" and meeting
- Twice yearly portfolio review
- Where there is no "Annual Review" and meeting the portfolio review will be issued by post
- All of the "Annual Retainer Services" detailed above
- Priority over "customers" & "annual retainer" clients
- Monthly "Wealth Management Update" email
- Service charge: £87 + vat per month plus 1.0%** pa of the portfolio value

**Assuming a portfolio value of £100,000 this equates to £500pa*

***Assuming a portfolio value of £100,000, this equates to £1,000pa*

Regardless of the service standard selected, additional "mid review" meetings can be arranged where necessary to provide advice on other areas. These will be charged at a fixed rate of £187 + vat per meeting.

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Between **Appleton Fox Wealth Management Ltd** and

Client name(s)

Client Address

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Client Declaration

I/We acknowledge this fee agreement is supplemental to the Terms of Business Letter (vs.3) which I/we have already signed.

I/We request Appleton Fox Wealth Management Ltd to provide me/us with ongoing services in accordance with the

- Customer relationship)
- Client relationship - annual retainer) *(please delete accordingly)*
- Client relationship- twice yearly retainer)

All services are detailed on the first page of this agreement.

In certain circumstances we will be paid a nominal trail commission in addition to our fee. If this is the case, the amount & frequency will be disclosed at the point of recommendation. By signing this agreement you are consenting to our retaining this payment as it is uneconomic to refund these (typically) nominal payments.

This agreement may be terminated at any time by any party giving notice in writing. Any outstanding fees will still be payable.

Name..... Signature..... Date.....

Name..... Signature..... Date.....

For and on behalf of Appleton Fox
Wealth Management Ltd. Signature..... Date.....